



FEB 01 2002

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,973	08/17/2001	Yousuke Yamada	10417-091001

CONFIRMATION NO. 5424

## FORMALITIES LETTER



\*OC000000006815140\*

CHRIS T. MIZUMOTO  
Fish & Richardson P.C.  
Suite 2800  
45 Rockefeller Plaza  
New York, NY 10111

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 10/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

03/06/2002 SSALEEKU 00000011 061050 09931973

01 FC:103 18.00 CH

*A copy of this notice **MUST** be returned with the reply.*

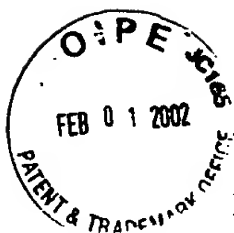
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/05/2002 BSAYAS11 00000112 09931973

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130.00 CP



Attorney's Docket No.: 17-091001 / F51-137504M/KIK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yousuke Yamada  
Serial No. : 09/931,973  
Filed : August 17, 2001  
Title : FILTER DEVICE

Art Unit : Unknown  
Examiner : Unknown

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, D.C. 20231

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RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed October 16, 2001 (copy enclosed), applicant as a large entity submits herewith the following:

- ☐ Payment of the basic filing fee of \$0;
- ☐ Payment of the additional/multiple dependent claims fees of \$0;
- ☒ Checks in payment of \$130 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application; and \$110.00 for Petition of Extension of Time
- ☐ A Verified Statement Claiming Small Entity Status;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☐ A verified English translation of the application and payment of the \$0 fee required under 37 CFR §1.17(k); and
- ☒ Other: Petition for Extension of Time

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit January 11, 2002

Signature Rose Papetti

Typed or Printed Name of Person Signing Certificate  
Rose Papetti

Applicant : Yousuke Yamada  
Serial No. : 09/931,973  
Filed : August 17, 2001  
Page : 2

Attorney Pockets No.: 10417-091001 / F51-  
137504M/KIK



Respectfully submitted,

A handwritten signature in black ink, appearing to read "Chris T. Mizumoto", written over a horizontal line.

Chris T. Mizumoto  
Reg. No. 42,899

Date: January 11, 2002

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